

Personal Financial Planning Profile

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Please read through, then go back and complete applicable sections. Use additional sheets if necessary. This information is used to help guide more in-depth discussions and consultations with you. Through this process, we can offer you worthwhile direction and advice.

Name:

Birthday:

Name:

Birthday:

Street, City, State, Zip:

Home phones:

Work/Other phones:

Fax phone:

E-mail addresses:

Accountant:

Attorney:

Children, Parents, or Other Dependents; Birthday; Grade; College Bound; Adults:

Estimated Income Sources

Type; Employer or Source; Last Year; This Year; Next Year (List gross pay or net business income)

Estimated Monthly Living Expenses

| <u>Fixed</u> | <u>\$/mo.</u> | <u>Variable</u> | <u>\$/mo.</u> |
|--------------------------------|---------------|-------------------|---------------|
| Housing & Household Operations | | Federal Taxes | |
| Food (groceries) | | State/Local Taxes | |
| Health & Medical Care | | Transportation | |
| Utilities | | Recreation | |
| Other: | | Clothing | |
| | | All Other | |
| | Sub-total | | Sub-total |
| | | | Total: |

Retirement and Financial Independence Planning Concepts (if applicable)

Amount you are contributing towards financial independence each year _____

If applicable, at what age would you like to be financially independent/retired _____

Through what age might you want to live on investments and pensions _____

How much monthly income, in today's dollars, would you be comfortable with _____

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IRA, Retirement, and Pension Plans

Title and type; investment description; recent \$ value (summarize or attach recent statements):

Other Financial Accounts (individual, joint, trust, etc.)

Title and type; investment description; recent \$ value (summarize or attach recent statements)
Please make note if there are significant differences between cost and current value:

Liabilities (debts)

Mortgage, charge, or loan; interest rate %; \$ payment/month; balance due:

Life and Health Insurance

Name; type; benefit amounts and limits; annual premium; date last reviewed coverage with agent/advisor:
Health Insurance

Life Insurance

Disability Income Insurance

Long Term Care Insurance

Property and Casualty Insurance

Name; type; benefit amounts and limits; annual premium; date last reviewed coverage with agent/advisor:
Auto

Home

Umbrella/Liability/Other

Legacy and Estate Planning

Legacy Goals (personal, financial, legal, philanthropic):

Will last updated: _____ Trust last updated: _____ Living Will, Medical Directives date: _____
Durable Power of Attorney date: _____ Major life or household changes since documents prepared? _____

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Areas of Interest and Priorities

Rate each on a scale of 0 – 3. i.e., 0=not concerned with now, 3=very interested in

| | | |
|--|---|---|
| <input type="checkbox"/> Socially responsible investing | <input type="checkbox"/> Investment diversification | <input type="checkbox"/> Estate planning |
| <input type="checkbox"/> Philanthropy planning | <input type="checkbox"/> Keeping funds liquid | <input type="checkbox"/> Adequate insurance |
| <input type="checkbox"/> Current portfolio risk too high/low | <input type="checkbox"/> Maximum investment growth | <input type="checkbox"/> Tax management |
| <input type="checkbox"/> Retirement planning | <input type="checkbox"/> Current income from assets | <input type="checkbox"/> Job security |
| <input type="checkbox"/> Education fund planning | <input type="checkbox"/> Amount of personal debt | <input type="checkbox"/> Other: |

Goals, Objectives, and Money Profile

Complete this table indicating the following ideas:

Core Values. A deep core value you stand for or feel regarding how your wealth should be used.

Ought To. You feel obligated, a commitment, family belief, or a societal norm.

Fun To. Would make you feel good, add zip to who you are and how you feel. Not obligation.

| Possible Uses of Your Wealth | Core Values | Ought To | Fun To | n/a |
|--|-------------|----------|--------|-----|
| Providing for my family's ongoing needs. Day-to-day living, mortgage, transportation, vacations, children's needs. | | | | |
| Support for parents, siblings, other family members. | | | | |
| Leaving an inheritance for children or other family. | | | | |
| Adjusting select parts of current lifestyle such as more expensive travel, second or different home, or hobby. | | | | |
| Supporting a major change in work or career. | | | | |
| Actualizing a very different direction for my life. | | | | |
| Adjusting the level of philanthropy and giving. | | | | |
| Other: | | | | |
| Other: | | | | |

Additional thoughts and feelings about what you wish to accomplish with your money and your life:

What *changes* in your income or assets are likely in the future?

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Money Style and History

How was your experience with other financial advisers? (Including CPA, unpaid friend, family, etc.)

How often (monthly, quarterly, or annually) do you review:

Investments--

Insurance--

Financial Planning--

Are you tracking your investments or financial planning by computer? If yes, how and how often?

What financial services or investments have you been *dissatisfied* with? If any, please explain why also.

What financial services or investments have you been *satisfied* with? If any, please explain why also.

Is there anything else that you wish to share that might be helpful?